

TC 2800 MAIL ROOM

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

RAPPINE Application of Steinberg

Application No. 09/835,106

Attorney Docket No.Shipley 02-12

Filed: April 13, 2001

For: OPTICAL WAVEGUIDE SWITCH

Examiner: Michael C. Zarroli

Group Art Unit: 2839

CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Assignee is submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

Assignee respectfully requests full and proper consideration of the listed information during examination of the application, and that the listed information be

printed on any patent that issues therefrom.

Respectfully submitted,

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Niels Haun

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Copies of references listed on PTO - 1449